# Some effects of surface tension on steep water waves. Part 2 

By S. J. HOGAN<br>Department of Applied Mathematics and Theoretical Physics, University of Cambridge, Silver Street, Cambridge CB3 9EW $\dagger$

(Received 2 February 1979)
This paper continues an investigation of the effects of surface tension on steep water waves in deep water begun in Hogan (1979a). A Stokes-type expansion method is given which can be applied to most wavelengths. For capillary waves ( 2 cm or less) it is found that the surface of the highest wave encloses a bubble of air, as was found for pure capillary waves by Crapper (1957). For intermediate waves ( 20 cm ) the wave profiles are similar to those of pure gravity waves and the wave properties increase monotonically. For gravity waves ( 200 cm ) the wave properties all exhibit a maximum just short of the maximum wave height obtained by the method. The integral properties for all the waves are drawn and given in numerical form in the appendix.

## 1. Introduction

In a recent paper (Hogan $1979 a$, hereinafter referred to as I) some results of work on pure capillary waves were presented. Exact expressions were obtained for the wave energy and flux of momentum, energy and mass of the wave in terms of the wave amplitude. It was also proved that the potential energy is always greater than the kinetic energy. In addition it was found that the crest height of such waves, when referred to the mean level, is not a monotonic function of wave height.

In the present paper, using methods pioneered by Stokes (1880), and developed by Schwartz (1974) and Longuet-Higgins (1975), we consider waves with both gravity and surface tension taken into account. No exact solutions were found but, using a computer to perform the algebra, very accurate results can be obtained. We find that for short wavelengths the wave is very capillary-like in nature. The highest wave bends over and touches itself, enclosing a bubble of air. The crest height above the mean level is not a monotonic function of wave height and the potential energy exceeds the kinetic energy. In addition the gravitational potential energy is greatest at a height short of the maximum. For intermediate waves where surface tension is not quite so important, the wave properties are monotonic in the wave amplitude. We find that the wave trough broadens and the wave crest narrows as the amplitude increases, the phase speed and other integral properties increase and the total kinetic energy exceeds the total potential energy. However, for gravity waves the present method reveals the existence of a wave of greatest energy at a height less than the maximum obtained by this method. In fact all the properties of these gravity waves
$\dagger$ Present address: Applied Mathematics Department 101-50, California Institute of Technology, Pasadena, California 91125.
are not monotonic functions of wave height. The case of waves in which the present method breaks down, the so-called Wilton ripples, is to be included in a further paper. In § 2, we set up the problem and derive the governing equations. In § 3 the perturbation solution is given. In § 4 we quote the results and $\S 5$ is devoted to a discussion of their consequences.

## 2. The governing equations for gravity-capillary waves

We develop a method for analysing symmetric two-dimensional periodic gravitycapillary waves on the surface of an ideal fluid of infinite depth. We have at our disposal two limiting cases against which our results can be checked. Pure gravity waves have been analysed by Schwartz (1972, 1974), Longuet-Higgins (1975) and Cokelet (1977), pure capillary waves by Crapper (1957) and I. However it was Wilton (1915) who presented the first significant results for the general problem and it is with this paper that most comparison will be made. With a suitable choice of reference frame, the waves can be brought to rest with the fluid at great depths moving to the left with the phase speed $c$. We choose axes with $y$ vertically upwards, and $x$ horizontal and to the right. We assume irrotationality of the flow and an inviscid incompressible fluid. In addition, as in I, we take the mean level as the line $y=0$ and the mean horizontal velocity as zero.

Following Stokes (1880) we consider the velocity potential $\Phi$ and stream function $\Psi$ (both relative to the moving reference frame) as independent variables. In fact the notation is the same as in $I$, with in addition the wavelength $\lambda$ normalized to $2 \pi$ and the acceleration due to gravity to 1 , although we will change this later on.

Let

$$
\begin{equation*}
\Phi+i \Psi=-i c \ln W \tag{2.1}
\end{equation*}
$$

so

$$
\begin{aligned}
& W=\exp (i \Phi / c) \quad \text { on the free surface } \quad y=\eta \quad(\text { where } \Psi=0), \\
& W \rightarrow 0 \quad \text { as } \quad y \rightarrow-\infty \quad \text { (where } \Psi \sim-c y \text { ) } .
\end{aligned}
$$

In general

$$
\begin{equation*}
x+i y=i\left(\ln W+\sum_{n=0}^{\infty} \frac{1}{n} a_{n} W^{n}\right) \tag{2.2}
\end{equation*}
$$

where the $a_{n}(n=0,1,2, \ldots)$ are all real. $a_{0}$ does not have the same value as in I but serves the same purpose, that is of fixing the origin of $y$. The particle velocity ( $U, v$ ) is given by

$$
U-i v=\frac{d(\Phi+i \Psi)}{d(x+i y)}=\frac{-c}{\left(1+\sum_{n=1}^{\infty} a_{n} W^{n}\right)}
$$

At the free surface $y=\eta$, Bernoulli's condition can be written as

$$
\begin{equation*}
\left|U_{s}-i v_{s}\right|^{2}+2\left(\eta-a_{0}\right)-\frac{2 \tau \eta^{\prime \prime}}{\left(1+\eta^{\prime 2}\right)^{\frac{3}{2}}}=K \tag{2.3}
\end{equation*}
$$

where the subscript $s$ denotes surface values, $\tau$ is the surface tension divided by the
density, the prime denotes $d / d x$ and $K$ is a constant. If we put $\Psi=0$ in (2.2) and equate real and imaginary parts we obtain

$$
\begin{align*}
& x=-\Phi / c-\sum_{n=1}^{\infty} \frac{a_{n}}{n} \sin \left(\frac{n \Phi}{c}\right) \equiv f(\Phi / c),  \tag{2.4a}\\
& \eta=a_{0}+\sum_{n=1}^{\infty} \frac{a_{n}}{n} \cos \left(\frac{n \Phi}{c}\right) \equiv g(\Phi / c), \tag{2.4b}
\end{align*}
$$

where, from now on, everything is considered to be evaluated at the surface.
The curvature can then be rewritten as

$$
\begin{equation*}
\frac{\eta^{\prime \prime}}{\left(1+\eta^{\prime 2}\right)^{\frac{2}{2}}}=\frac{f \dot{g}-\dot{g} f}{\left(\dot{f}^{2}+\dot{g}^{2}\right)^{\frac{3}{2}}} \tag{2.5}
\end{equation*}
$$

where the dot denotes $d / d(\Phi / c)$. Then making use of the identity

$$
x_{\Phi}^{2}+\eta_{\Phi}^{2}=\frac{1}{U_{s}^{2}+v_{s}^{2}},
$$

we see that

$$
\begin{equation*}
c^{2}\left(x_{\Phi}^{2}+\eta_{\Phi}^{2}\right)=\dot{f}^{2}+\dot{g}^{2}=\left|1+\sum_{n=1}^{\infty} a_{n} W^{n}\right|^{2} . \tag{2.6}
\end{equation*}
$$

Equations (2.5) and (2.6) enable us to write equation (2.3) in the following form:

$$
\begin{equation*}
c^{2}+\left[2\left(\eta-a_{0}\right)-K\right]\left[\left|1+\sum_{n=1}^{\infty} a_{n} W^{n}\right|^{2}\right]=2 \tau(\dot{f} \ddot{g}-\dot{g} \ddot{f}) /\left|1+\sum_{n=1}^{\infty} a_{n} W^{n}\right| \tag{2.7}
\end{equation*}
$$

We then substitute equations (2.4a,b) into equation (2.7) and equate coefficients of $\cos (n \Phi / c)$. However, this is rather complicated to do all at once so we break down the calculation into smaller pieces.

Write

$$
\begin{align*}
f \ddot{g}-\ddot{g} \ddot{f} & =\sum_{k=0}^{\infty} q_{k} \cos \left(\frac{k \Phi}{c}\right),  \tag{2.8a}\\
\left|1+\sum_{n=1}^{\infty} a_{n} W^{n}\right| & =-\sum_{k=0}^{\infty} u_{k} \cos \left(\frac{k \Phi}{c}\right),  \tag{2.8b}\\
\left|1+\sum_{n=1}^{\infty} a_{n} W^{n}\right| & =-\sum_{k=0}^{\infty} w_{k} \cos \left(\frac{k \Phi}{c}\right),  \tag{2.8c}\\
c^{2}+\left[2\left(\eta-a_{0}\right)-K\right]\left[\left|1+\sum_{n=1}^{\infty} a_{n} W^{n}\right|^{2}\right] & =\sum_{k=0}^{\infty} s_{k} \cos \left(\frac{k \Phi}{c}\right) . \tag{2.8d}
\end{align*}
$$

We have chosen the negative sign in equation (2.8b), following Wilton, that is, we take the radius of curvature to be positive in the wave troughs.

From equation (2.7) we must then solve

$$
\begin{equation*}
s_{n}=-2 \kappa w_{n}, \quad n=0,1,2, \ldots \tag{2.9}
\end{equation*}
$$

$\kappa$ is a non-dimensional number arising naturally in the problem; in general it is given
by $\kappa=4 \pi^{2} \tau / \lambda^{2} g$. The $s_{n}(n=0,1,2, \ldots)$ can be expressed in terms of $c^{2}, K, a_{0}, a_{1}, \ldots$ as follows:

$$
\begin{align*}
s_{0} & =c^{2}+2 \sum_{k=1}^{\infty} \frac{a_{k} f_{k}}{k}-K f_{0}  \tag{2.10a}\\
\frac{1}{2} s_{n} & =\sum_{k=1}^{\infty} \frac{a_{k}}{k}\left(f_{|k-n|}+f_{n+k}\right)-K f_{n}, \quad n=1,2, \ldots \tag{2.10b}
\end{align*}
$$

where

$$
\begin{align*}
& f_{0}=1+\sum_{k=1}^{\infty} a_{k}^{2},  \tag{2.11a}\\
& f_{n}=a_{n}+\sum_{k=1}^{\infty} a_{k} a_{k+n}, \quad n=1,2, \ldots \tag{2.11b}
\end{align*}
$$

We note that if $\tau=0$ then equation (2.9) implies that $s_{n}=0(n=0,1,2, \ldots)$. In this case equations ( $2.10 a, b$ ) are exactly equations ( $2.6 a, b$ ) of Schwartz (1974), evaluated at infinite depth.

## 3. The perturbation solution

Following Schwartz we let $\epsilon$ be a global parameter associated with the wave height which vanishes with the wave height. Then we assume power series expansions in terms of $\epsilon$ of each of the $a_{j}, f_{j}, q_{j}, s_{j}, u_{j}, w_{j}, c^{2}$ and $K$. Thus

$$
\begin{align*}
& a_{j}=\sum_{k=0}^{\infty} \alpha_{j k} \epsilon^{j+2 k}, \quad j=1,2, \ldots,  \tag{3.1a}\\
& f_{j}=\sum_{k=0}^{\infty} \beta_{j k} \epsilon^{j+2 k}, \quad j=0,1, \ldots,  \tag{3.1b}\\
& q_{j}=\sum_{k=0}^{\infty} \mu_{j k} \epsilon^{j+2 k}, \quad j=0,1, \ldots,  \tag{3.1c}\\
& s_{j}=\sum_{k=0}^{\infty} \sigma_{j k} \epsilon^{j+2 k}, \quad j=0,1, \ldots,  \tag{3.1d}\\
& u_{j}=\sum_{k=0}^{\infty} \tau_{j k} \epsilon^{j+2 k}, \quad j=0,1, \ldots,  \tag{3.1e}\\
& w_{j}=\sum_{k=0}^{\infty} \zeta_{j k} \epsilon^{j+2 k}, \quad j=0,1, \ldots,  \tag{3.1f}\\
& c^{2}=\sum_{k=0}^{\infty} \gamma_{k} \epsilon^{2 k},  \tag{3.1g}\\
& K=\sum_{k=0}^{\infty} \delta_{k} \epsilon^{2 k} \tag{3.1h}
\end{align*}
$$

We then substitute equations (3.1) into equations (2.8), (2.9), (2.10) and (2.11) and equate coefficients of $\epsilon$. The following recurrence relations are then obtained:

$$
\begin{equation*}
\sigma_{0 k}=\gamma_{k}+2 \sum_{r=0}^{k-1} \frac{1}{k-r} \sum_{m=0}^{r} \alpha_{k-r, r-m} \beta_{k-r, m}-\sum_{p=0}^{k} \delta_{k-p} \beta_{0 p}, \quad k=0,1, \ldots ; \tag{3.2a}
\end{equation*}
$$

$$
\begin{align*}
& \frac{1}{2} \sigma_{j k}= \sum_{l=1}^{j} \frac{1}{l} \sum_{s=0}^{k} \alpha_{l, k-s} \beta_{j-l, s}+\sum_{l=1}^{k} \frac{1}{l+j} \sum_{s=0}^{k-l} \alpha_{l+j, k-l-s} \beta_{l s} \\
&+\sum_{l=0}^{k-1} \frac{1}{k-l} \sum_{s=0}^{l} \alpha_{k-l, l-s} \beta_{j+k-l, s}-\sum_{l=0}^{k} \delta_{l} \beta_{j, k-l}, \quad j=1,2, \ldots \quad \text { and } \quad k=0,1, \ldots ; \\
& \beta_{00}=1, \quad \beta_{0 k}=\sum_{l=1}^{k} \sum_{r=0}^{k-l} \alpha_{l m} \alpha_{l, k-l-r}, \quad k=1,2, \ldots ;  \tag{3.2b}\\
& \beta_{j k}= \alpha_{j k}+\sum_{l=1}^{k} \sum_{r=0}^{k-l} \alpha_{l r} \alpha_{l+j, k-l-r}, \quad j=1,2, \ldots \quad \text { and } \quad k=0,1, \ldots ;  \tag{3.2d}\\
& \beta_{0 k}= \sum_{l=0}^{k} \tau_{0 l} \tau_{0, k-l}+\frac{1}{2} \sum_{l=1}^{k} \sum_{m=0}^{k-l} \tau_{l m} \tau_{l, k-l-m}, \quad k=0,1, \ldots ;  \tag{3.2e}\\
& \beta_{j k}= \sum_{l=0}^{k} \tau_{j l} \tau_{0, k-l}+\frac{1}{2} \sum_{l=1}^{k} \sum_{m=0}^{k-l} \tau_{l m} \tau_{j+l, k-l-m} \\
&+\frac{1}{4} \sum_{l=1}^{j} \sum_{m=0}^{k} \tau_{l, k-m} \tau_{j-l, m}, \quad j=1,2, \ldots \quad \text { and } k=0,1, \ldots ; \tag{3.2f}
\end{align*} \quad(3.2 f)
$$

$$
\begin{equation*}
\sigma_{j k}=-2 \kappa \zeta_{j k} \tag{3.2l}
\end{equation*}
$$

In the above expressions the summation is taken to be identically zero if the lower limit exceeds the upper. Equations (3.2a,b) were derived from equations (2.10a,b), $(3.2 c, d)$ from (2.11a,b), (3.2e,f) by combining (2.8b) and (2.4a,b) in (2.6), (3.2g, $h$ ) from (2.8b), (3.2j,k) from (2.8c) and (3.2l) from (2.9). This system of equations (3.2) is closed only when we define the parameter $\epsilon$.

## (a) Verification and extension of Wilton's work

To verify Wilton's work we simply let $\epsilon=a_{1}$. From equation (3.1a) we see that this is equivalent to taking

$$
\alpha_{10}=1, \quad \alpha_{1 k}=0, \quad k=1,2, \ldots
$$

We then substitute this into equations (3.2) and solve. The following results, up to $O\left(a_{1}^{5}\right)$ are then obtained:

$$
\begin{align*}
& a_{1}=a_{1} ; \\
& a_{2}=\frac{(\kappa-2)}{(2 \kappa-1)} a_{1}^{2}-\frac{\left(30 \kappa^{3}-71 \kappa^{2}+17 \kappa-8\right)}{8(2 \kappa-1)^{3}(3 \kappa-1)} a_{1}^{4}+O\left(a_{1}^{6}\right) ; \tag{3.3a}
\end{align*}
$$

$$
\begin{align*}
a_{3}= & \frac{9\left(2 \kappa^{2}-11 \kappa+8\right)}{16(2 \kappa-1)(3 \kappa-1)} a_{1}^{3} \\
& \quad-\frac{3\left(13248 \kappa^{5}-53640 \kappa^{4}+63260 \kappa^{3}-29010 \kappa^{2}+7971 \kappa-1216\right)}{768(2 \kappa-1)^{3}(3 \kappa-1)^{2}(4 \kappa-1)} a_{1}^{5}+O\left(a_{1}^{7}\right) ;  \tag{3.3b}\\
a_{4}= & \frac{\left(18 \kappa^{3}-183 \kappa^{2}+361 \kappa-128\right)}{12(2 \kappa-1)(3 \kappa-1)(4 \kappa-1)} a_{1}^{4}+O\left(a_{1}^{6}\right) ;  \tag{3.3c}\\
a_{5}= & \frac{25\left(288 \kappa^{5}-4680 \kappa^{4}+18980 \kappa^{3}-24786 \kappa^{2}+11091 \kappa-1600\right)}{1536(2 \kappa-1)^{2}(3 \kappa-1)(4 \kappa-1)(5 \kappa-1)} a_{1}^{5}+O\left(a_{1}^{7}\right) ;  \tag{3.3d}\\
K= & 1+\kappa-\frac{\left(2 \kappa^{2}-15 \kappa+16\right)}{8(2 \kappa-1)} a_{1}^{2} \\
& +\frac{\left(24 \kappa^{5}+220 \kappa^{4}-2422 \kappa^{3}+4701 \kappa^{2}-2858 \kappa+704\right)}{128(2 \kappa-1)^{3}(3 \kappa-1)} a_{1}^{4}+O\left(a_{1}^{6}\right) ;  \tag{3.3e}\\
c^{2}= & 1+\kappa-\frac{\left(2 \kappa^{2}+\kappa+8\right)}{8(2 \kappa-1)} a_{1}^{2} \\
& +\frac{\left(24 \kappa^{5}-164 \kappa^{4}-566 \kappa^{3}+1821 \kappa^{2}-1322 \kappa+448\right)}{128(2 \kappa-1)^{3}(3 \kappa-1)} a_{1}^{4}+O\left(a_{1}^{6}\right) . \tag{3.3f}
\end{align*}
$$

Equations (3.3a-f) agree with Wilton's equations (10)-(15) respectively, with due regard paid to changes in notation. We note the presence of singularities at

$$
\kappa=\frac{1}{2}, \frac{1}{3}, \ldots,
$$

equivalent to wavelengths of $2.44,2.99 \mathrm{~cm}$ for water. This phenomenon is due to the primary wave undergoing a resonant interaction with one of its harmonics. The case $\kappa=\frac{1}{2}$ will be considered in greater detail in a later paper.

In theory we can carry on and find more coefficients (with the aid of a computer). However Schwartz showed for pure gravity waves that the coefficient $a_{1}$ is not a monotonically increasing function of the wave height. Instead he uses $\varepsilon=h$ where $h$, the wave amplitude, is defined as

$$
h=\frac{1}{2}\left(\eta_{\text {crest }}-\eta_{\text {trough }}\right) .
$$

Using (2.4b), this can be written as

$$
h=\sum_{k=1}^{\infty} \frac{a_{2 k-1}}{(2 k-1)},
$$

or, using equation (3.1a), as

$$
\begin{equation*}
h=\sum_{k=1}^{\infty} \Delta_{k} \epsilon^{2 k-1} \tag{3.4}
\end{equation*}
$$

where

$$
\begin{equation*}
\Delta_{k}=\sum_{j=1}^{k} \frac{\alpha_{2 j-1, k-j}}{(2 j-1)}, \quad k=1,2, \ldots, \tag{3.5}
\end{equation*}
$$

so

$$
\begin{equation*}
\Delta_{1}=1, \quad \Delta_{k}=0, \quad k=2,3, \ldots \tag{3.6}
\end{equation*}
$$

in order that $\epsilon=h$. We then use this form of $\epsilon$, in case $a_{1}$ is not monotonic in $h$ for all values of $\kappa$. Also $h$ is a more practical parameter to use and the trouble of calculating the amplitude in terms of $a_{1}$, at each step of the working, is removed.

In order to obtain the solution to high order, we programmed equations (3.2) together with the closure equations (3.6) in fortran iv on Cambridge University's IBM 370/165 computer. The execution time for a quadruple precision ( 32 decimal places) solution up to $O\left(h^{100}\right)$ was approximately 10 minutes and was independent of the input value of $\kappa$ (which must be chosen initially). More coefficients are difficult to obtain owing to storage problems associated with quadruple precision arithmetic. A double precision ( 16 decimal places) run produced more coefficients but serious rounding error was evident in the higher-order terms. This choice, of fewer (more accurate) coefficients, turns out to be vindicated because the expansions (3.1) converge rapidly for particular values of $\kappa$. Consequently the higher-order terms are not as essential as may at first seem the case.

Runs were made with $\kappa=0,0.000075,0.0075,0 \cdot 8,1 \cdot 0,5 \cdot 0,10 \cdot 0$. Other runs, with values of $\kappa$ nearer to $0 \cdot 5$, were also made but the analysis of these is to be included in a subsequent paper. The case $\kappa=0.000075$ (a wavelength in water of approximately 200 cm ) is not strictly suitable for inclusion as the effects of the air above could well have as comparable effect on the wave form as does surface tension. However it is instructive to compare it with the cases $\kappa=0$ and 0.0075 .

In addition the following results were obtained, for general $\kappa$, by hand:

$$
\begin{align*}
& a_{1}= h-\frac{3\left(2 \kappa^{2}-11 \kappa+8\right)}{16(2 \kappa-1)(3 \kappa-1)} h^{3}+O\left(h^{5}\right) ;  \tag{3.7a}\\
& a_{2}= \frac{(\kappa-2)}{(2 \kappa-1)} h^{2}-\frac{\left(12 \kappa^{4}-66 \kappa^{3}+154 \kappa^{2}-169 \kappa+40\right)}{8(2 \kappa-1)^{3}(3 \kappa-1)} h^{4}+O\left(h^{6}\right) ;  \tag{3.7b}\\
& a_{3}= \frac{9\left(2 \kappa^{2}-11 \kappa+8\right)}{16(2 \kappa-1)(3 \kappa-1)} h^{3}+O\left(h^{5}\right) ;  \tag{3.7c}\\
& a_{4}= \frac{\left(18 \kappa^{3}-183 \kappa^{2}+361 \kappa-128\right)}{12(2 \kappa-1)(3 \kappa-1)(4 \kappa-1)} h^{4}+O\left(h^{6}\right) ;  \tag{3.7d}\\
& a_{5}= \frac{25\left(288 \kappa^{5}-4680 \kappa^{4}+18980 \kappa^{3}-24786 \kappa^{2}+11091 \kappa-1600\right)}{1536(2 \kappa-1)^{2}(3 \kappa-1)(4 \kappa-1)(5 \kappa-1)} h^{5}+O\left(h^{7}\right) ;  \tag{3.7e}\\
& K=1+\kappa-\frac{\left(2 \kappa^{2}-15 \kappa+16\right)}{8(2 \kappa-1)} h^{2} \\
& \quad+\frac{\left(72 \kappa^{5}-428 \kappa^{4}+446 \kappa^{3}-129 \kappa^{2}+454 \kappa-64\right)}{128(2 \kappa-1)^{3}(3 \kappa-1)} h^{4}+O\left(h^{6}\right) ;  \tag{3.7f}\\
& c^{2}=1+\kappa-\frac{\left(2 \kappa^{2}+\kappa+8\right)}{8(2 \kappa-1)} h^{2} \\
& \quad+\frac{\left(72 \kappa^{5}-428 \kappa^{4}-194 \kappa^{3}+735 \kappa^{2}-74 \kappa+64\right)}{128(2 \kappa-1)^{3}(3 \kappa-1)} h^{4}+O\left(h^{6}\right) . \tag{3.7g}
\end{align*}
$$

The computed results for a particular value of $\kappa$ agree exactly with those of equations (3.7), up to the orders given. In addition equations (3.7) can be shown to agree with Schwartz (1974) when $\kappa=0$ and Crapper (1957) when $\kappa$ is infinite.

## (b) Integral properties

We can now draw wave profiles, using the $a_{n}(n=1,2, \ldots)$ and equations (2.4a,b). However we can do more with the computed coefficients. In particular we can calculate phase speeds, kinetic and potential energies and mass, energy and momentum fluxes. For example the phase speed is given by equation ( 3.1 g ) and we calculate the required coefficients $\gamma_{n}$ from equation (3.2a). To find the potential energy $V$, either we choose $a_{0}$ so that the mean level $\bar{\eta}$ vanishes or we can let $a_{0}=0$ and use equation (3.12a), below. We choose the latter. Other properties are found as follows. From equation (2.7) of I we have that

$$
\begin{equation*}
T=\frac{c}{4 \pi} \int \eta(d \Phi+c d x)=\frac{1}{2} c^{2} \bar{\eta} \tag{3.8}
\end{equation*}
$$

In addition, letting $y \rightarrow-\infty$ in equation (2.3) of the present paper gives us

Hence

$$
\begin{equation*}
\bar{\eta}=\frac{1}{2}\left(K-c^{2}\right) . \tag{3.9}
\end{equation*}
$$

and

$$
\begin{equation*}
T=\frac{1}{4} c^{2}\left(K-c^{2}\right) \tag{3.10}
\end{equation*}
$$

$$
\begin{equation*}
I=\frac{1}{2} c\left(K-c^{2}\right) \tag{3.11}
\end{equation*}
$$

where, in (3.11), we have used the well-known result $2 T=c I$ (see Longuet-Higgins (1975) for a proof in the case of pure gravity waves which can easily be extended to include gravity-capillary waves). With a non-zero mean level the potential energy $V$ is given by

$$
\begin{align*}
V & =\frac{1}{2}\left(\overline{\eta^{2}}-\bar{\eta}^{2}\right)+\kappa\left[\overline{\left(1+\eta^{\prime 2}\right)^{\frac{1}{2}}-1}\right]  \tag{3.12a}\\
& =V_{g}+V_{\tau} \tag{3.12b}
\end{align*}
$$

(compare this with equation (2.3) of I ). We can now greatly simplify $V_{\tau}$ as follows:

$$
\begin{aligned}
\frac{V_{\tau}}{\kappa}+1 & =\frac{1}{2 \pi} \int_{0}^{2 \pi}\left(1+\eta^{\prime 2}\right)^{\frac{1}{2}} d x \\
& =\frac{1}{2 \pi} \int_{0}^{2 \pi c}\left(x_{\Phi}^{2}+\eta_{\Phi}^{2}\right)^{\frac{1}{2}} d \Phi \\
& =\frac{1}{2 \pi c} \int_{0}^{2 \pi c}\left(\sum_{n=0}^{\infty} u_{n} \cos \left(\frac{n \Phi}{c}\right)\right) d \Phi \\
& =\frac{1}{2 \pi c} \sum_{n=0}^{\infty} u_{n}\left[\int_{0}^{2 \pi c} \cos \left(\frac{n \Phi}{c}\right) d \Phi\right] \\
& =u_{0} .
\end{aligned}
$$

Note we have taken the positive root of $\left(1+\eta^{\prime 2}\right)^{\frac{1}{2}}$ so as to be consistent with $I$. Then using (3.1e) and the fact that $\tau_{00}=1$ [from (3.2e) with $k=0$ and (3.2c)] we have

$$
\begin{equation*}
V_{\tau}=\kappa \sum_{k=1}^{\infty} \tau_{0 k} h^{2 k}, \tag{3.13}
\end{equation*}
$$

$V_{g}$ is given in terms of $\alpha_{i j}$ by Cokelet (1977), equations (5.23) and (5.24). This enables us to write

$$
\begin{align*}
& V_{g}=\frac{1}{4} \sum_{j=1}^{\infty} \sum_{k=0}^{j-1} \sum_{l=0}^{j-k-1} \frac{\alpha_{j-k-l, k} \alpha_{j-k-l, l}}{(j-k-l)^{2}} h^{2 j} \\
&+\frac{1}{8} \sum_{j=2}^{\infty} \sum_{m=1}^{j-1} \sum_{k=0}^{j-m-1} \sum_{i=0}^{j-m-k-1} \sum_{n=0}^{j-m-k-i-1}\left(\frac{1}{m}+\frac{2}{j-k-i-n}\right) \\
& \times \frac{\alpha_{j-m-k-i-n, k} \alpha_{m i} \alpha_{j-k-i-n, n}}{(j-m-k-i-n)} h^{2 j} \\
&-\frac{1}{8} \sum_{j=2}^{\infty}\left\{\sum_{i=1}^{j-1}\left(\sum_{k=0}^{i-1} \sum_{n=0}^{i-k-1} \frac{\alpha_{i-k-n, k} \alpha_{i-k-n, n}}{(i-k-n)}\right)\right. \\
&\left.\times\left(\sum_{l=0}^{j-i-1 j-i-l-1} \sum_{m=0}^{j-1} \frac{\alpha_{j-i-l-m, l} \alpha_{j-i-l-m, m}}{(j-i-l-m)}\right)\right\} h^{2 j} . \tag{3.14}
\end{align*}
$$

Similarly using (3.1g) and (3.1h) we have

$$
\begin{equation*}
T=\frac{1}{4} \sum_{k=1}^{\infty} \sum_{l=1}^{k}\left(\delta_{l}-\gamma_{l}\right) \gamma_{k-l} h^{2 k} \tag{3.15}
\end{equation*}
$$

For the radiation stress $S_{x x}$ and energy flux $F$ we use equations (2.23) and (2.24) of I viz.

$$
\begin{align*}
S_{x x} & =4 T-3 V_{g}-V_{\tau},  \tag{3.16}\\
F & =\left(3 T-2 V_{\theta}\right) c . \tag{3.17}
\end{align*}
$$

Crapper (1979) has given an expression for $S_{z z}$, the excess flux of $z$ momentum in the $z$ direction (where the $z$ axis is such as to form a right-handed triad with the present $(x, y)$ axes, that is, it is directed at right angles into the page). It is

$$
\begin{equation*}
S_{z q}=T-V_{y}-V_{\tau} . \tag{3.18}
\end{equation*}
$$

The following expressions were then obtained:

$$
\begin{align*}
T & =\frac{1}{4}(1+\kappa) h^{2}+\frac{\left(-6 \kappa^{4}+12 \kappa^{3}-21 \kappa^{2}+15 \kappa\right)}{16(2 \kappa-1)^{2}(3 \kappa-1)} h^{4}+O\left(h^{6}\right) ;  \tag{3.19}\\
V & =\frac{1}{4}(1+\kappa) h^{2}+\frac{\left(-12 \kappa^{4}+44 \kappa^{3}-39 \kappa^{2}+21 \kappa+8\right)}{64(2 \kappa-1)^{2}(3 \kappa-1)} h^{4}+O\left(h^{6}\right) ;  \tag{3.20}\\
S_{x x} & =\frac{1}{4}(1+3 \kappa) h^{2}+\frac{\left(-84 \kappa^{4}+172 \kappa^{3}-193 \kappa^{2}+175 \kappa-24\right)}{64(2 \kappa-1)^{2}(3 \kappa-1)} h^{4}+O\left(h^{6}\right) ;  \tag{3.21}\\
S_{z z} & =\frac{-\left(2 \kappa^{2}+\kappa+8\right)}{64(2 \kappa-1)} h^{4}+O\left(h^{6}\right) ;  \tag{3.22}\\
F / c & =\frac{1}{4}(1+3 \kappa) h^{2}+\frac{\left(-18 \kappa^{4}+42 \kappa^{3}-37 \kappa^{2}+34 \kappa-4\right)}{16(2 \kappa-1)^{2}(3 \kappa-1)} h^{4}+O\left(h^{6}\right) \tag{3.23}
\end{align*}
$$

Equations (3.19) and (3.20) agree with deep-water linear theory, as do (3.21), (3.22) [see Longuet-Higgins \& Stewart (1964), § 3, equations (6) and (23), respectively] and (3.23) [see Wehausen \& Laitone (1960), equation (15.25)]. These results also agree with the known results for the limiting cases $\kappa=0$ and $\kappa$ infinite. Three other features of
these equations are noted also. Firstly, the singularities at $\kappa=\frac{1}{2}, \frac{1}{3}, \ldots$ are still present. Secondly, $T-V\left(=S_{z z}\right)$ is positive if $\kappa<\frac{1}{2}$, and negative if $\kappa>\frac{1}{2}$ and we shall see that $V>T$ is characteristic of all capillary waves with $\kappa>\frac{1}{2}$ (we already know this when $\kappa$ is infinite, see I, figure 2). Finally the order $h^{4}$ term in $T$ vanishes at $\kappa=0$ and at $\kappa=1$, the other two roots being complex.

To conclude this section we note that when the expansion parameter was chosen to be the same as the one used by Cokelet (1977), namely

$$
\epsilon^{2}=\epsilon_{c}^{2}=1-\frac{q_{\text {crest }}^{2} q_{\text {trough }}^{2}}{c^{4}},
$$

where $q_{\text {crest }}, q_{\text {trough }}$ denote the particle speeds at the wave crest and wave trough respectively, several of the coefficients $\alpha_{i j}, \beta_{i j}$, etc. are (for all $h$ ) complex-valued for $\kappa>\frac{1}{2}$. Of course this parameter is not necessarily applicable here. Its main merit, for pure gravity waves, is that it has a known range, viz. $0 \leqslant \epsilon_{c} \leqslant 1$. This is because $q_{\text {crest }}$ was postulated, by Stokes, to have the value zero at the highest wave (in a reference frame moving with the wave crests). However on including surface tension, a sharp crest must be ruled out, on intuitive grounds at least. It can also be ruled out by using methods similar to those in $\S 4$ of Schwartz (1974) to show that $q_{\text {crest }}$ can never vanish. So, even if the $\alpha_{i j}$ etc. were not complex-valued we still would not know the range of $\epsilon_{c}$ a priori.

## 4. Results

Now we present wave profiles and integral properties of waves for various values of $\kappa$. It is to be noted that taking $\tau=0$ (in the dimensional form of $\kappa$ ) is equivalent to taking $\lambda$ infinite. In that sense, pure gravity waves will be included in the section on gravity waves together with $\kappa=0.000075$ and $\kappa=0.0075$. In the same sense pure capillary waves $(g=0)$ will be included under capillary waves, along with $\kappa=0 \cdot 8,1 \cdot 0$, 5.0 and $10 \cdot 0$. The main results are plotted in figures $1-21$ and tabulated (where appropriate) in the appendix. All the graphs were drawn with [13/13] Padé approximants and the tables contain these values, except for values of $h$ near the highest, where the converged results are used. The values given are correct to the number of figures shown.

## (a) Gravity waves

Work on pure gravity waves was verified. In particular tables 1 and 2 of Schwartz (1974), table 2 of Longuet-Higgins (1975), figures 19 and 20 and table A0 of Cokelet (1977) were all reproduced. Minor differences were encountered at heights very near to the maximum, owing to the fewer number of terms being used.

In the cases $\kappa=0.000075$ and $\kappa=0.0075$ we have now to consider what we shall call the highest wave. We have already excluded as a possibility the case of a sharp corner in the profile and, for these gravity waves, a criterion based on an enclosed bubble of air is obviously inapplicable. Another possible criterion, namely that the horizontal particle velocity (in the frame moving with the waves) should vanish somewhere in the profile implies that some part of the wave profile must be vertical and we shall see that this cannot be attained by our method. In our case we adopt the following criterion. Having solved (3.2) with (3.6) for the $\alpha_{i j}$, we can then calculate


Figure 1. Wave profiles, in the case $\kappa=0.000075$, for $h=0.05,0.10,0 \cdot 15,0.20,0.25$, $0.30,0.35,0.39,0.41,0.43$. The still water line is included for reference.
$a_{i}$ from (3.1a), with the aid of Padé approximants. Then if we do not get convergence in the Padé approximants, we cannot draw the wave profile by using equations (2.4). It must be stressed that this limiting criterion is only technical; the author has not yet seen any physical significance in this choice. This method is also dependent on what we call convergent. However it seems obvious, for consistency, to choose that used by Cokelet. That is, for a given value of $i$, take, for each value of $h$,

$$
C=\frac{\left\{[N / N] a_{i}-[N-1 / N-1] a_{i}\right\}}{h i} .
$$

Then let $N=1,2, \ldots$ until either $C \leqslant 10^{-5}$ or we run out of coefficients $\alpha_{i j}$ to approximate. If the former, we take $[N / N] a_{i}$ as our value for $a_{i}$ and go on to consider $a_{i+1}$; if the latter, we take $a_{i-1}$ as the largest usable coefficient.

In applying this limiting criterion with the convergence condition, it was found that not all the $a_{i}$ diverged after a certain height was passed. Groups of $a_{i}$ persisted in converging as $h$ increased, with others diverging. So the maximum height $h_{\max }$ was taken as the highest value of $h$ at which the first 60 Fourier coefficients converged. For $\kappa=0.000075, h_{\max }=0.4365$ and for $\kappa=0.0075, h_{\max }=0.3545$ by this method. So for $h=h_{\text {max }}+0.0001$, one or more of the $a_{i}(i=1,2, \ldots, 60)$ diverged.

Whether or not these are highest waves remains to be seen. However work in progress on values of the radius of curvature at points along the wave profile indicate that as $h$ increases towards $h_{\text {max }}$, the radius of curvature appears to approach a constant value dependent only on $\kappa$. More numerical accuracy is required to support this conclusion, but it now seems that the values of $h_{\text {max }}$ quoted here do have some relevance.

Finally, in the case of the gravity waves considered here, it is possible that for $\kappa=0.0075$ the Fourier coefficient $a_{133}$ can be unusually large (the same applies to


Figure 2. Wave profiles, in the case $\kappa=0.0075$, for $h=0.05,0.10,0.15,0.20$, $0.25,0.30,0.3545$. The still water line is included for reference.
$\kappa=0.000075$ and $a_{13333}$ ) owing to the denominator containing small terms ( $133 \kappa-1$ and $13333 \kappa-1$ respectively). However it can be shown (for $\kappa=0.0075$ ) that $\alpha_{133,1} \doteqdot 10^{78}$, not too distant from the expected value of $10^{76}$. (It is not possible, with present computer facilities, to find $\alpha_{13333,1}$ for $\kappa=0.000075$.) This is very close to the largest number that the computer can hold and is hence subject to serious rounding error. So the author tried out two other values of $\kappa$ which would provide manageable coefficients, and give trouble at $a_{80}$. In each case ( $\kappa=199 / 16000$ and $399 / 32000$ ), the results for truncation at $a_{72}$ and $a_{100}$ were indistinguishable to 8 decimal places at $h_{\max }(\doteqdot 0.30)$ in each case. Hence it seems that exclusion of these near-singular coefficients has no noticeable effect on our results.

In figure 1 we show wave profiles in the case $\kappa=0.000075$. Note (i) we do not draw the highest wave as the series in equations (2.4) do not converge well, as is the case for $\kappa=0$ and $h=h_{\max }=0.44313$, (ii) the profile $h=0.43$ is almost entirely within the profile $h=0.41$, the former having a trough depth less than the latter and (iii) the profile $h=0.43$ has extra steepening just short of the crest, with a maximum local slope of $32.6^{\circ}$. In figure 2 with $\kappa=0.0075$ we have been able to draw the highest wave. The crest height and trough depth increase monotonically with $h$ and the maximum wave slope is $20 \cdot 6^{\circ}$. We note that no part of the wave profiles in either figure is vertical.

We now describe the behaviour of various integral properties of waves with $\kappa=0.000075$ and 0.0075 and compare them with pure gravity wave ( $\kappa=0$ ). First we consider the phase speed $c$. In figure 3 we have drawn $\left(c^{2}-c_{0}^{2}\right) / c_{0}^{2}$ against $h / h_{\max } \cdot c_{0}$ is the phase speed of a wave of zero amplitude, given by $c_{0}^{2}=1+\kappa$. We note the presence of a maximum in each of the curves for $\kappa=0$ (as found by Longuet-Higgins 1975) and $\kappa=0.000075$. Also the maximum value attained by $\left(c^{2}-c_{0}^{2}\right) / c_{0}^{2}$ for $\kappa=0$ is larger than the maximum for $\kappa=0.000075$, but the value at $h=h_{\max }$ for $\kappa=0$ is lower than


Figure 3. The relative increase in the squared phase speed $c^{2}$ to that of infinitesimal waves $c_{0}^{\mathbf{2}}$ plotted against $h / h_{\text {max }}$ for $\kappa=0,0.000075,0.0075$.
Figure 4. The kinetic energy $T$ plotted against $h / h_{\max }$ for $\kappa=0,0.000075,0.0075$. The scaling is such that $g=1$.
the corresponding value for $\kappa=0.000075$. In addition there is one point at which the curve for $\kappa=0$ intersects with the curve for $\kappa=0.000075$.

In fact this sort of behaviour of these values of $\kappa$ is typical of most of the wave properties. In figures 4 and 5 we plot the kinetic and potential energies of these waves against $h / h_{\max }$. From the tables we see that $T$ is always greater than $V$. In figure 6 we plot $V_{\tau}$ against $h / h_{\max }$. The behaviour here is not typical but it is interesting to see how little of the potential energy is actually due to the stretching of the surface. In the case $\kappa=0, V_{\tau}$ is identically zero. In figures 7 and 8 we plot $S_{x x}$, the excess flux of $x$ momentum in the $x$ direction, and $S_{z z}$, the excess flux of $z$ momentum in the $z$ direction, respectively, against $h / h_{\text {max }}$. In figure 8 there is a maximum of $S_{z z}$ in the case $\kappa=0.000075$ although this may not be obvious from the graph. Also, in figures 9 and 10


Figure 5. The potential energy $V$ plotted against $h / h_{\text {max }}$ for $\kappa=0$, $0.000075,0.0075$. The scaling is such that $g=1$.
we plot the fluxes of mass $I$ and energy $F$, again, versus $h / h_{\max }$. The mean level $\bar{\eta}$ and Bernoulli constant exhibit similar behaviour and are not shown. $\bar{\eta}$ and $V$ were checked, as in Cokelet (1977), by integration along the wave profile from their definitions. The intermediate maxima were confirmed and the deviation at most $2 \%$ (this occurred at $h=h_{\text {max }}$ ).

Finally since the integral properties give us a hint as to its behaviour, we plotted $a_{1}$ against $h / h_{\text {max }}$. For $\kappa=0$ we know the behaviour is non-monotonic (Schwartz 1972) and now it comes as no surprise to find the same behaviour when $\kappa=0.000075$, see figure 11. In fact $a_{1}$ to $a_{17}$ (for $\kappa=0.000075$ ) are non-monotonic in $h$ (not shown). Note though that $a_{1}$ is monotonic in $h$ when $\kappa=0.0075$ and this is reflected in the behaviour of its integral properties. Discussion of these results is delayed until § 5 .


Figure 6. That part of the potential energy due to surface tension $V_{\tau}$ plotted against $h / h_{\max }$ for $\kappa=0,0.000075,0.0075$. The scaling is such that $g=1$.
(b) Capillary waves

These waves are much easier to analyse and the convergence of all quantities in this section is remarkable. This is partly to be expected when one remembers the exact solution to the problem in the case $\kappa=\infty$ as given by Crapper (1957). However our method cannot deal with this case owing to the finite amount of computer storage available. But using Crapper's results and the results given in § 3 of I, we are able to compare large values of $\kappa$ with the case $\kappa=\infty$. To do this requires a change in the scaling. Instead of $g=1$, we take $\tau=1$ so that (non-dimensional) $\kappa=1 / g$. Then the case $g=0$ does correspond to the case $\kappa=\infty$.

Again we must answer the question: what is the highest wave? However this time the answer is much simpler. We have Crapper's criterion available, that is, the surface bends over and touches itself, enclosing a bubble of air. In fact we found this behaviour for $\kappa=0.8,1 \cdot 0,5.0$ and 10.0 and we obtained maximum heights by a simple technique,


Figure 7. The radiation stress $S_{x x}$ plotted against $h / h_{\max }$ for $\kappa=0,0.000075,0.0075$. The scaling is such that $g=1$.
Figure 8. The radiation stress, $S_{z z}$, plotted against $h / h_{\max }$ for $\kappa=0,0.000075,0.0075$. The scaling is such that $g=1$.
based on the method of interval halving, used in finding roots of polynomials. This implies that wave profiles could be drawn for $h>h_{\max }$, but these profiles, of a very non-physical shape, can also be obtained from Crapper's solution. The values of $h_{\max }$ for various values of $\kappa$ are given in table 1 , where $\lambda=2 \pi$.

We have also drawn the highest wave profiles together (figure 12). The case $\kappa=0.8$ is missing as it is very similar to the case $\kappa=1 \cdot 0$. We note the dependence of trough depth and crest height on $\kappa$ and the ever-present bubble. In the case $\kappa=1 \cdot 0$, we have drawn profiles with $h$ less than $h_{\max }$ as well as the highest; these are given in figure 13. Similar graphs can be drawn for $\kappa=0.8,5 \cdot 0$ and 10.0 . Note that, as found in I for the case $\kappa=\infty$, the crest height above the mean level is not a monotonic function of wave amplitude.


Figure 9. The wave momentum $I$ plotted against $h / h_{\text {max }}$ for $\kappa=0,0.000075,0.0075$. The scaling is such that $g=1$.
Figure 10. The energy flux $F$ plotted against $h / h_{\max }$ for $\kappa=0,0.000075,0.0075$. The scaling is such that $g=1$.

The results for integral properties follow. In figure 14 we plot $c^{2} / c_{0}^{2}$ against $h$. Note that $c^{2} / c_{0}^{2}$ is a decreasing function of $h$ for all values of $\kappa$ and that the values of $c^{2} / c_{0}^{2}$ at $h=h_{\text {max }}$ decrease as $\kappa$ decreases. In figure 15 we plot $T$ against $h / h_{\text {max }}$. The curves for $\kappa=1.0$ and 0.8 possess maxima just short of $h=h_{\max }$. In figure 16 we plot $V$ against $h / h_{\text {max }}$. Here the behaviour is monotonic with $h$, but this covers up the rather interesting behaviour of $V_{g}$ as given in figure 17. In each case of finite $\kappa$ there is a welldefined maximum far short of $h=h_{\text {max }}$. Thus $V_{\tau}$ must rapidly increase beyond this maximum and this is the case as the surface starts to bend to enclose a bubble of air. We note that $V_{g}$ for $\kappa=1.0$ appears greater for most of the range of $h / h_{\max }$ than $V_{g}$ for $\kappa=0.8$. However the $\kappa=0.8$ curve represents a greater percentage of $V_{g}$ in $V$ than the $\kappa=1 \cdot 0,5 \cdot 0$ and $10 \cdot 0$ curves for all values of $h / h_{\max }$. As found in I for $\kappa=\infty$, we


Figure 11. The leading Fourier coefficient of the wave profile, $a_{1}$, plotted against $h / h_{\text {max }}$ for $\kappa=0,0.000075,0.0075$.

|  |  |
| :---: | :---: |
|  |  |
| 0 | $h_{\text {max }}$ |
| 0.000075 | 0.4431 |
| 0.0075 | 0.4365 |
| 0.8 | 0.3545 |
| 1.0 | 0.7243 |
| 5.0 | 0.8069 |
| $10 \cdot 0$ | 1.4846 |
| $\infty$ | 1.7468 |
| 2.2926 |  |
| TABLE 1. Highest waves for various values of $\kappa$. |  |



Figure 12. Highest wave profiles for $\kappa=1,5,10, \infty$ drawn relative to the same mean level.


Fiaure 13. Wave profiles in the case $\kappa=1.0$ for $h=0.1,0.3,0.5,0.7$, $0 \cdot 8069$. The still water line is included for reference.


Figure 14. The ratio of the squared phase speed $c^{2}$ to that of infinitesimal waves $c_{0}^{2}$ plotted against $h$ for $\kappa=0 \cdot 8,1 \cdot 0,5 \cdot 0,10 \cdot 0, \infty$.


Figure 15. The kinetic energy $T$ plotted against $h / h_{\max }$ for $\kappa=0 \cdot 8,1 \cdot 0,5 \cdot 0,10 \cdot 0, \infty$. The scaling is such that $\tau=1$.
Figure 16. The potential energy $V$ plotted against $h / h_{\text {max }}$ for $\kappa=0 \cdot 8,1 \cdot 0,5 \cdot 0,10 \cdot 0, \infty$. The scaling is such that $\tau=1$.
find here that $V$ is always greater than $T$ for given values of $h$ and $\kappa$. In figure 18 we plot $S_{x x}$ against $h / h_{\max }$; non-monotonicity is absent. In figure 19 we plot $S_{z z}$ against $h / h_{\max }$. It will be noted that $S_{z z}$ is always negative for non-zero $h$, indicating the flow of $z$ momentum in the $z$ direction for short waves is in the opposite direction to the case of long waves. In figure 20 and 21 we plot the fluxes of mass $I$ and energy $F$. In the latter figure the curves $\kappa=1.0$ and 0.8 are non-monotonic. We note that the mean level and Bernoulli constant are monotonic in $h$. They are not shown. However table 2 contains values of $\eta_{\text {crest }}$ and $\eta_{\text {trough }}$ for various values of $\kappa$ and $h$.

Finally we state that $a_{1}$ is also a monotonic function of $h$ for the quoted values of $\kappa$. Hence we could have used it as an expansion parameter. In fact the whole analysis was repeated in this manner for the case $\kappa=1.0$ and six-figure accuracy retained


Figure 17. That part of the potential energy due to gravity $V_{g}$ plotted against $h / h_{\max }$ for $\kappa=0.8$, $1 \cdot 0,5 \cdot 0,10 \cdot 0, \infty$. The scaling is such that $\tau=1$.
Figure 18. The radiation stress $S_{x x}$ plotted against $h / h_{\text {max }}$ for $\kappa=0 \cdot 8,1 \cdot 0,5 \cdot 0,10 \cdot 0, \infty$. The scaling is such that $\tau=1$.
throughout, thus giving a check on the method. However when the mean level and potential energies were checked by the method of Cokelet (1977), severe disagreement was found in the case of the higher waves, for all values of $\kappa$. This was owing to the presence, in these waves, of two vertical tangents which the computer fails to represent accurately when integrating along the profile. Thus using $a_{1}$ as an expansion parameter was necessary if $\bar{\eta}$ and $V$ were to be checked. The worst agreement was $0.001 \%$.


Figure 19. The radiation stress $S_{z z}$ plotted against $h / h_{\max }$ for $\kappa=0 \cdot 8,1 \cdot 0,5 \cdot 0,10 \cdot 0, \infty$. The scaling is such that $\tau=1$.
Figure 20. The wave momentum $I$ plotted against $h / h_{\max }$ for $\kappa=0 \cdot 8,1 \cdot 0,5 \cdot 0,10 \cdot 0, \infty$. The scaling is such that $\tau=1$.

## 5. Discussion

We have shown that gravity waves have non-monotonic integral properties even when there is a small amount of surface tension present. However, as we move to shorter waves, where surface tension dominates, the waves look and behave very much like pure capillary waves.

This work has implications for experimenters who seek non-monotonicity in gravity waves. For it now seems that they must deal with waves of substantial wavelengths if any progress is to be made. The author feels that if a wave of length less than 200 cm is looked at, the non-monotonic behaviour will be very hard to detect, as the maximum moves nearer to the line $h=h_{\max }$. However, experimental work on


Figure 21. The energy fux $F$ plotted against $h / h_{\text {max }}$ for $\kappa=0.8$, $1 \cdot 0,5 \cdot 0,10 \cdot 0, \infty$. The scaling is such that $\tau=1$.
capillary waves could produce the characteristic pure-capillary wave form because it now appears that waves of length 2 cm will have this form also. In practice viscosity will dampen the waves but not as fast as would be the case for waves of even shorter lengths.

In the case $\kappa=0.000075$ it should be possible to find instabilities in the manner of Longuet-Higgins ( $1978 a, b$ ). There, for pure gravity waves, evidence (both physical and numerical) was given as to the possibility of the maximum in the phase speed being responsible for the onset of an instability (it may also be instructive to apply the same methods to the case $\kappa=0.0075$ where no phase speed maximum is present). The work of Longuet-Higgins \& Fox $(1977,1978)$ may also be adapted to see if the phase speed possesses any other extrema but there the difficulty will arise in defining accurately the highest wave. In addition this work can be extended to water of arbitrary uniform depth. Few wave profiles have been drawn by other authors for $\kappa$ not equal to zero or infinity. Only Wilton's work is relevant and his profiles haye been compared to Crapper's (see Wehausen \& Laitone, 1960, p. 749). But as we pointed out at the end

| $\kappa=0.000075$ | $h$ | $0 \cdot 1$ | $0 \cdot 2$ | C. 3 | $0 \cdot 35$ | 0.39 | 0.41 | 0.43 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\eta_{\text {creat }}$ | $0 \cdot 105069$ | 0.221164 | 0.351687 | 0.425053 | $0 \cdot 490300$ | 0.5261 | 0.561 |
|  | $\eta_{\text {trough }}$ | -0.094930 | -0.178834 | -0.248309 | -0.274946 | -0.289 699 | -0.2934 | -0.292 |
| $\kappa=0.0075$ | $h$ | 0.05 | $0 \cdot 1$ | $0 \cdot 15$ | 0.2 | $0 \cdot 25$ | $0 \cdot 3$ | 0.3545 |
|  | $\eta_{\text {crest }}$ | 0.052530 | $0 \cdot 105188$ | $0 \cdot 161891$ | 0.221726 | 0.285267 | 0.353565 | 0.43648 |
|  | $\eta_{\text {trough }}$ | -0.047470 | -0.094811 | $-0.138107$ | -0.178272 | $-0.214730$ | -0.246 434 | $-0.27259$ |
| $\kappa=0.8$ | $h$ | $0 \cdot 1$ | 0.2 | $0 \cdot 3$ | $0 \cdot 4$ | 0.5 | $0 \cdot 6$ | 0.7243 |
|  | $\eta_{\text {crest }}$ | 0.085453 | $0 \cdot 145799$ | $0 \cdot 187308$ | 0.2131 | 0.2235 | 0.2163 | 0.175 |
|  | $\eta_{\text {trough }}$ | $-0.114546$ | -0.254 199 | $-0.412688$ | $-0.5868$ | $-0.7767$ | $-0.9853$ | -1.280 |
| $\kappa=1.0$ | $h$ | $0 \cdot 1$ | $0 \cdot 3$ | $0 \cdot 5$ | $0 \cdot 6$ | 0.7 | 0.8 | $0 \cdot 8069$ |
|  | $\eta_{\text {crest }}$ | 0.090077 | 0.214763 | 0.274069 | 0.2783 | 0.2619 | 0.2172 | 0.2128 |
|  | $\eta_{\text {trough }}$ | -0.109 923 | -0.385238 | $-0.726025$ | -0.9216 | -1.1381 | -1.3828 | -1.4011 |
| $\kappa=5 \cdot 0$ | $h$ | $0 \cdot 1$ | $0 \cdot 3$ | 0.5 | 0.9 | $1 \cdot 1$ | $1 \cdot 3$ | 1.4846 |
|  | $\eta_{\text {creat }}$ | 0.096662 | $0 \cdot 269650$ | 0.413944 | $0 \cdot 600345$ | $0 \cdot 628230$ | $0 \cdot 596338$ | 0.494365 |
|  | $\eta_{\text {trough }}$ | -0.103 338 | -0.330350 | -0.586056 | -1.199 655 | -1.571769 | -2.003661 | $-2.474834$ |
| $\kappa=10 \cdot 0$ | $h$ | $0 \cdot 1$ | 0.5 | 0.9 | $1 \cdot 1$ | $1 \cdot 3$ | 1.5 | 1.7468 |
|  | $\eta_{\text {creses }}$ | 0.097102 | 0.425813 | $0 \cdot 646458$ | 0.707053 | 0.726870 | $0 \cdot 697726$ | $0 \cdot 576837$ |
|  | $\boldsymbol{\eta}_{\text {trough }}$ | -0.102898 | -0.574187 | -1.153 542 | $-1.492946$ | $-1.873129$ | -2.302 273 | $-2.916762$ |

of $\S 4$, because $a_{1}$ is monotonic in $h$, it is possible to extend Wilton's analysis to higher waves with the aid of Padé approximants.

Finally, we point out that an approach to the problem, based on $\eta=F(x)$ where $F$ contains sines, cosines and their integral powers, will almost surely fail because as, we have shown, $\eta$ is not always a single valued function of $x$.

In a subsequent paper the case of waves near to and at $\kappa=\frac{1}{2}$ will be analysed in detail. Consideration of the question of parasitic capillary waves is also delayed, possibly for inclusion in work where the full time-dependence of the problem is analysed.

While the final draft of this paper was being written, the author received preprints of papers by L. W. Schwartz \& J.-M. Vanden-Broeck and B. Chen \& P. G. Saffman. Both pairs of authors concentrate on the shorter waves, with the latter pair showing that bifurcations of the solution can exist. However neither paper gives details of integral properties, other than phase speeds.

Full details of the algorithm used to solve equations (3.2) are contained in the author's Ph.D. thesis (Hogan 1979b).

I would like to thank Professor M. S. Longuet-Higgins for suggesting this problem to me and the Natural Environment Research Council for financial support.

## Appendix

|  <br>  <br> E o $\dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0}$ <br> iti i i i i i i i i i i i i i i <br> $\times \times \times \times \times \times \times \times \times \times \times \times$ <br>  <br> 菖 <br>  <br>  $\times \times \times \times \times \times \times \times \times \times \times \times$ <br>  <br>  <br>  <br> $\times \times \times \times \times \times \times \times \times \times \times \times$ <br>  <br> to N E $0 \dot{0} \dot{\theta} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{\theta}$ <br>  <br>  <br>  <br>  <br>  | 엉 No $\sim_{2}^{x} \circ \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0}$ $\infty$ M N $\times \times \times \times \times \times \times \times \times \times \times \times$ <br>  サ甘 엉 No M M $\text { - } \sigma \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} \dot{0} 00000000$ <br>  <br> 品 <br> Tíd <br>   |
| :---: | :---: |


| $h$ | c | $T$ | $V$ | $V_{g}$ | $F$ | $I$ | $S_{x x}$ | $S_{z z}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1.5000000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0.1086 | $1 \cdot 4899390$ | $0.66764 \times 10^{-8}$ | $0.67209 \times 10^{-2}$ | $0.36156 \times 10^{-8}$ | $0.19068 \times 10^{-1}$ | $0.89619 \times 10^{-2}$ | $0.12753 \times 10^{-1}$ | $-0.44536 \times 10^{-4}$ |
| 0.2173 | 1.4619014 | $0.26795 \times 10^{-1}$ | $0.27471 \times 10^{-1}$ | $0.13646 \times 10^{-1}$ | $0.77615 \times 10^{-1}$ | $0.36658 \times 10^{-1}$ | $0.52415 \times 10^{-1}$ | $-0.67634 \times 10^{-3}$ |
| 0.3259 | $1 \cdot 4183599$ | $0.59440 \times 10^{-1}$ | $0.62729 \times 10^{-1}$ | $0.27920 \times 10^{-1}$ | 0.17372 | $0.83816 \times 10^{-1}$ | 0.11919 | $-0.32888 \times 10^{-2}$ |
| 0.4346 | 1.3583370 | $0 \cdot 10167$ | 0.11197 | $0.43103 \times 10^{-1}$ | 0.29719 | 0.14969 | 0.20848 | $-0.10308 \times 10^{-1}$ |
| 0.5432 | $1 \cdot 2762683$ | $0 \cdot 14768$ | 0.17371 | $0.54044 \times 10^{-1}$ | 0.42747 | 0.23142 | 0.30890 | $-0.26038 \times 10^{-1}$ |
| 0.6519 | $1 \cdot 1584725$ | $0 \cdot 18606$ | 0.24499 | $0.51570 \times 10^{-1}$ | 0.52715 | 0.32122 | 0.39611 | $-0.58930 \times 10^{-1}$ |
| 0.6881 | $1 \cdot 1062071$ | 0.193 47 | 0.26995 | $0.44894 \times 10^{-1}$ | 0.54271 | 0.34978 | 0.41411 | $-0.76487 \times 10^{-1}$ |
| 0.7098 | 1.0701427 | $0 \cdot 19560$ | 0.28497 | $0.38702 \times 10^{-1}$ | 0.54512 | 0.36557 | $0 \cdot 42000$ | $-0.89378 \times 10^{-1}$ |
| 0.7134 | 1.0637168 | $0 \cdot 19575$ | 0.28747 | $0.37479 \times 10^{-1}$ | 0.54492 | 0.36805 | $0 \cdot 42054$ | $-0.91730 \times 10^{-1}$ |
| 0.7171 | 1.0571595 | $0 \cdot 19583$ | 0.28997 | $0.36196 \times 10^{-1}$ | 0.54452 | 0.37049 | $0 \cdot 42093$ | $-0.94145 \times 10^{-1}$ |
| 0.7207 | 1.0504654 | $0 \cdot 19585$ | 0.29246 | $0.34852 \times 10^{-1}$ | 0.54394 | 0.37288 | 0.42117 | $-0.96626 \times 10^{-1}$ |
| 0.7243 | 1.0436283 | $0 \cdot 19579$ | 0.29495 | $0.33443 \times 10^{\mathbf{- 1}}$ | 0.54315 | 0.37521 | 0.42126 | $-0.99174 \times 10^{-1}$ |
| Table 5. Properties of the steady wave with $\kappa=0.8$ as a function of the wave semi-height $h$. The scaling is such that $\tau=1$. |  |  |  |  |  |  |  |  |




| $h$ | c | $T$ | V | $V_{g}$ | $F$ | $I$ | $S_{x x}$ | $S_{z z}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1.0488088 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 0.2620 | 1.0441284 | $0.18740 \times 10^{-1}$ | $0 \cdot 18824 \times 10^{-1}$ | $0.17041 \times 10^{-2}$ | $0.55143 \times 10^{-1}$ | $0.35897 \times 10^{-1}$ | $0.52729 \times 10^{-1}$ | $-0.84008 \times 10^{-4}$ |
| 0.5240 | 1.0302290 | $0.73326 \times 10^{-1}$ | $0.74649 \times 10^{-1}$ | $0.66535 \times 10^{-2}$ | 0.21292 | 0.14235 | 0.20535 | $-0.13232 \times 10^{-8}$ |
| 0.7861 | 1.0073809 | 0.15910 | 0.16566 | $0.14240 \times 10^{-1}$ | 0.45212 | 0.31586 | 0.44224 | $-0.65627 \times 10^{-2}$ |
| 1-048 1 | 0.9755803 | 0.26878 | 0.28915 | $0.23037 \times 10^{-1}$ | 0.74169 | 0.55101 | 0.73989 | $-0.20371 \times 10^{-1}$ |
| $1 \cdot 3101$ | 0.9340006 | 0.39236 | 0.44174 | $0.30086 \times 10^{-1}$ | 1.04321 | 0.84018 | 1.06754 | $-0.49379 \times 10^{-1}$ |
| 1.5721 | 0.8802276 | 0.51556 | 0.61927 | $0.29556 \times 10^{-1}$ | $1 \cdot 30941$ | $1 \cdot 17143$ | 1.38388 | $-0.10371$ |
| $1 \cdot 6595$ | 0.8587820 | 0.55297 | 0.68306 | $0.25960 \times 10^{-1}$ | 1.38006 | 1.28781 | $1 \cdot 47690$ | -0.130 09 |
| 1.7119 | $0 \cdot 8448628$ | 0.57375 | 0.72226 | $0.22566 \times 10^{-1}$ | $1 \cdot 41609$ | $1 \cdot 35821$ | 1.52761 | $-0.14851$ |
| 1.7206 | 0.8424586 | 0.57707 | 0.72886 | $0.21895 \times 10^{-1}$ | 1.42157 | $1 \cdot 36995$ | 1.53561 | -0.15179 |
| 1.7293 | 0.8400292 | 0.58033 | 0.73547 | $0.21192 \times 10^{-1}$ | 1.42689 | 1.38170 | 1.54348 | -0.155 13 |
| 1.7381 | 0.8375742 | 0.58355 | 0.74209 | $0.20456 \times 10^{-1}$ | $1 \cdot 43204$ | $1 \cdot 39344$ | 1.55120 | -0.15854 |
| 1.7468 | 0.8350929 | 0.58672 | 0.74874 | $0.19686 \times 10^{-1}$ | 1.43703 | 1.40517 | 1.55879 | -0.16201 |
| Table 8. Properties of the steady wave with $\kappa=10 \cdot 0$ as a function of the wave semi-height $h$. The scaling is such that $\tau=1$. |  |  |  |  |  |  |  |  |

## REFERENCES

Cokelet, E. D. 1977 Phil. Trans. Roy. Soc. A 286, 183-230.
Crapper, G. D. 1957 J. Fluid Mech. 2, 532-540.
Crapper, G. D. 1979 J. Fluid Mech. 94, 13-24.
Hogan, S. J. 1979a J. Fluid Mech. 91, 167-180.
Hogan, S. J. 1979 b Ph.D. Dissertation, Cambridge University.
Longuet-Higgins, M. S. 1975 Proc. Roy. Soc. A 342, 157-174.
Longuet-Higgins, M. S. 1978a Proc. Roy. Soc. A 360, 471-488.
Longuet-Higains, M. S. 1978b Proc. Roy. Soc. A 360, 489-506.
Longuet-Higgins, M. S. \& Fox, M. J. H. 1977 J. Fluid Mech. 80, 721-742.
Longuet-Higgins, M. S. \& Fox, M. J. H. 1978 J. Fluid Mech. 85, 769-786.
Longuet-Higgins, M. S. \& Stewart, R. W. 1964 Deep-Sea Res. 11, 529-562.
Schwartz, L. W. 1972 Ph.D. Dissertation, Stanford University.
Schwartz, L. W. 1974 J. Fluid Mech. 62, 553-578.
Stokes, G. G. 1880 Math. \& Phys. Papers 1, 225-228.
Wehausen, J. V. \& Laitone, E. V. 1960 Encyclopaedia of Physics (ed. S. Flügge), vol. 9, pp. 446-778.
Wilton, J. R. 1915 Phil. Mag. 29 (6), 688-700.

